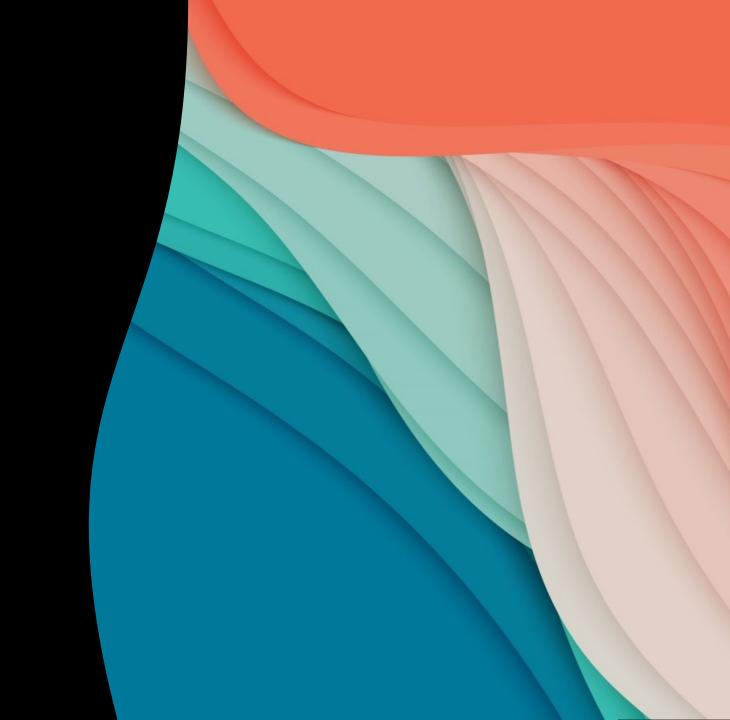
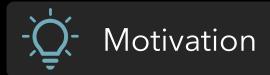
Competitive
Racing with
Proximal Policy
Optimization







Problem Statement



Related Work and Background

#### Introduction



Approach



Evaluation Procedure



Results



Conclusions and Future Work

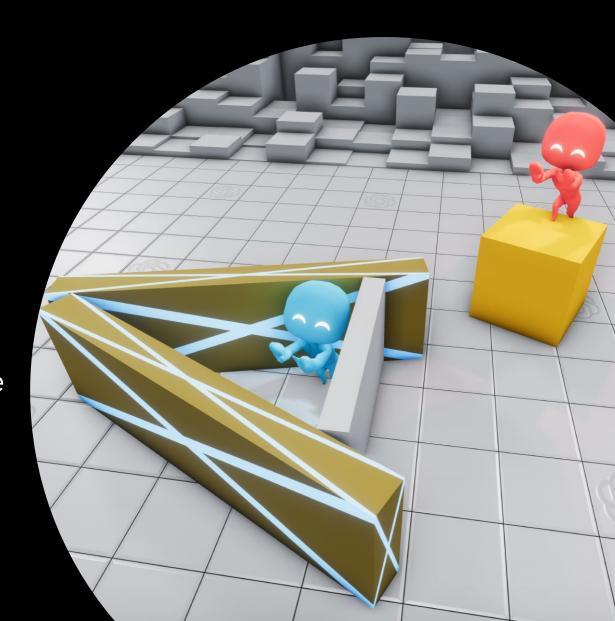
#### Motivation

- Racing sports often involve strategies for reducing wind resistance
  - "Slipstream" Effect
  - Reduced Energy Expenditure
  - Improved Efficiency
- Competitive/cooperative strategies emerge
  - When should you lead/draft?
  - Should you work alone or as a team?



#### **Problem Statement**

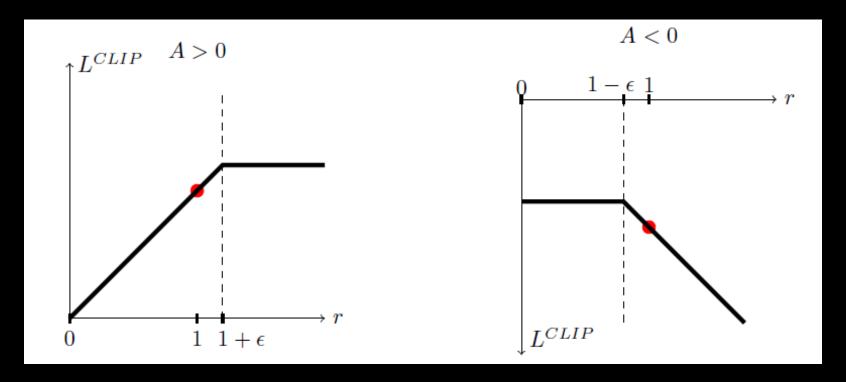
- Can agents learn to compete in a racing game?
  - Simulate the effects of drafting in a racing environment
  - Train agents to race with other agents (MARL)
  - Encourage competitive/cooperative racing
  - Evaluate the emergent strategies



# Proximal Policy Optimization (PPO)

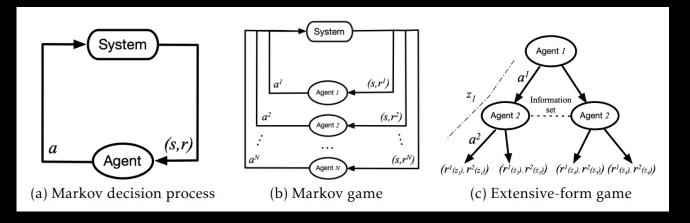
Limit policy updates by clipping changes in the policy

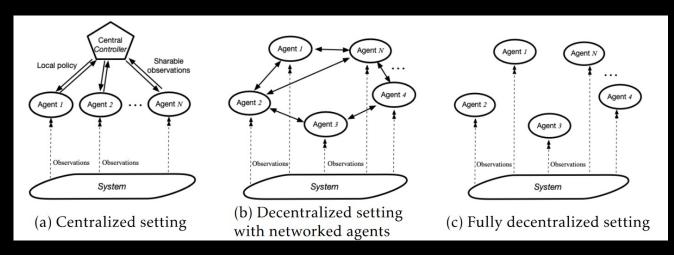
$$L_t^{CLIP+VF+S}(\theta) = \hat{E}_t[L_t^{CLIP}(\theta) - c_1 L_t^{VF}(\theta) + c_2 S[\pi_{\theta}](s_t)]$$



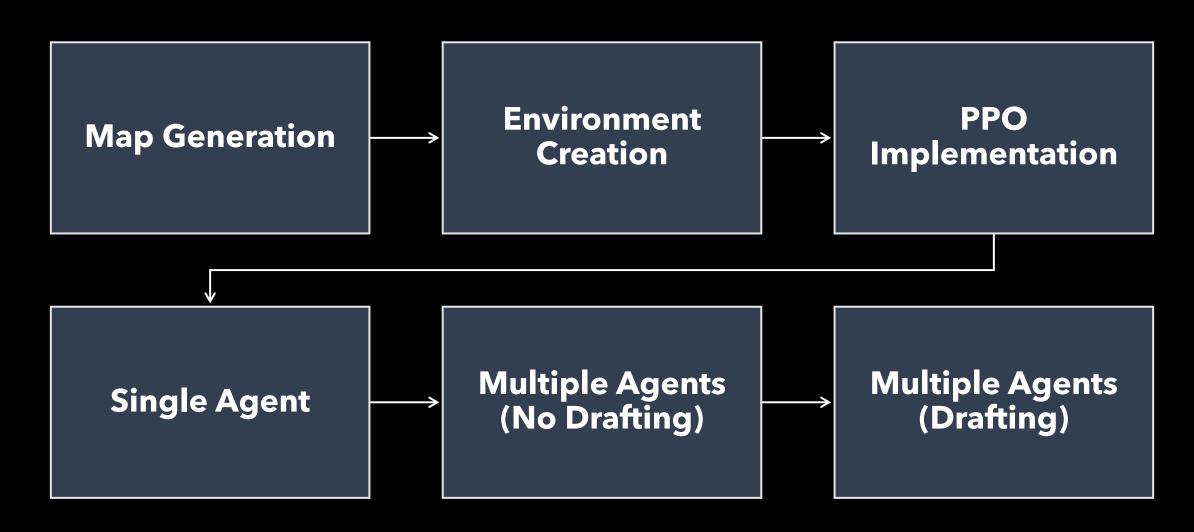
# Multi-Agent Reinforcement Learning (MARL)

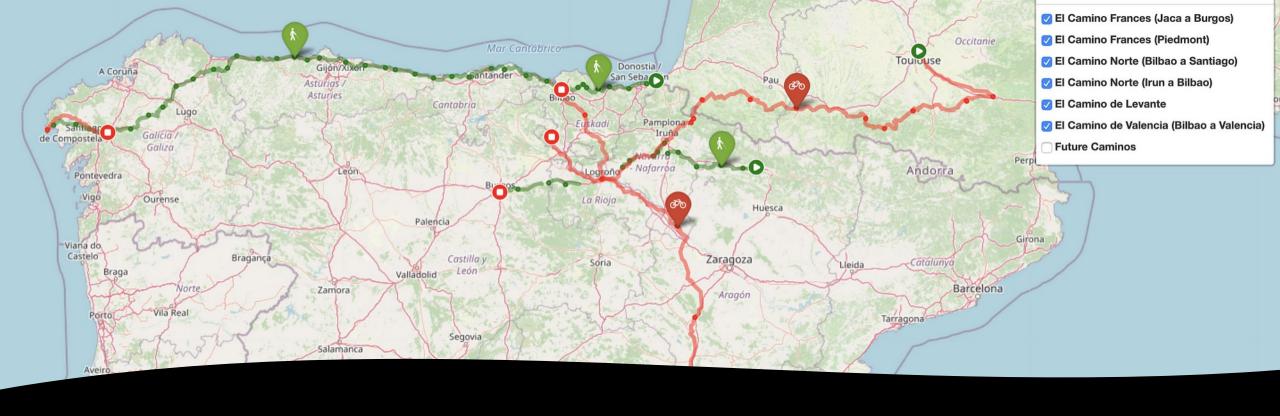
- Markov/Stochastic game
- Fully decentralized setting
- Partially observed model
- Homogeneous Agents





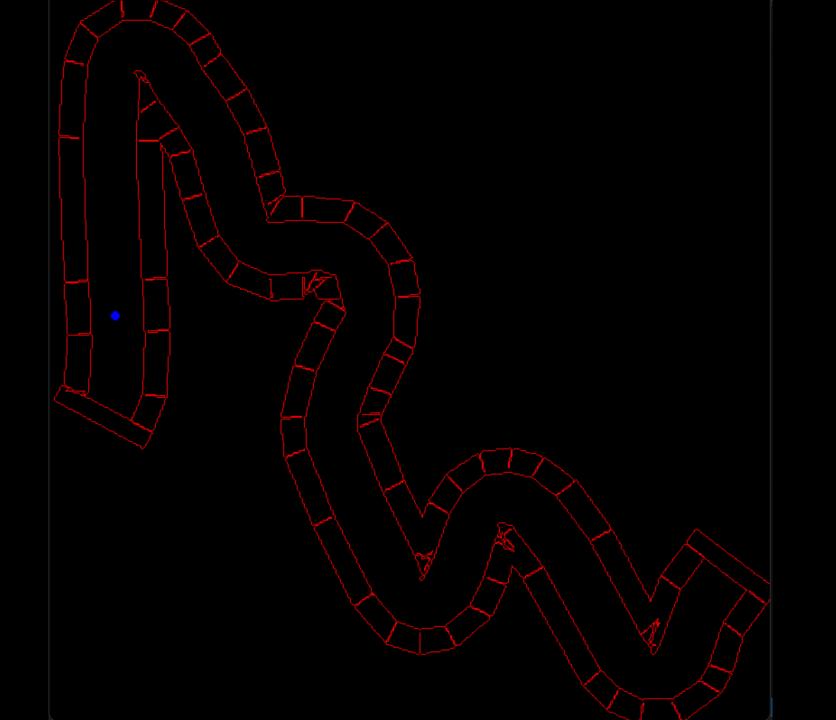
## Approach





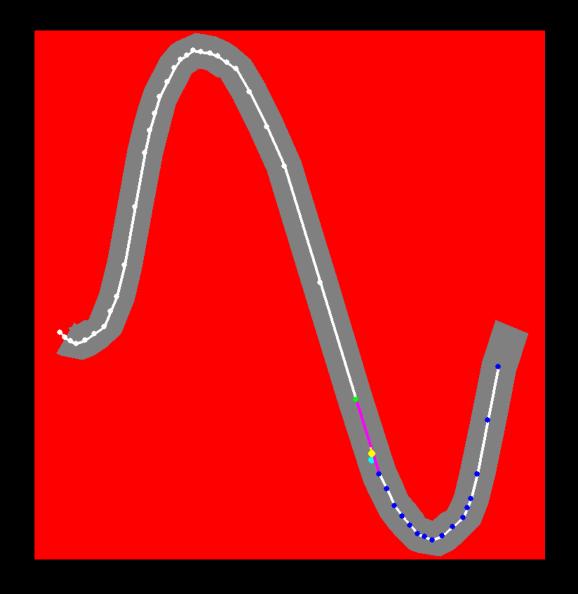
#### Map Generation

- Where are the agents going to race?
- Idea: Use GPS data in the form of .gpx files
  - Establish "waypoints" based on longitude/latitude
  - Define boundaries of the road as polygons for detecting collisions



#### **Environment Definition**

- Map State:
  - 800x800 grid
  - Walls, Waypoint Segments
- Agent State:
  - Position (x, y)
  - Speed [0,200]
  - Heading  $[-\pi, +\pi]$
  - Waypoint
- Actions:
  - Steer
  - Throttle
- Agent Observation:
  - 64x64x3 image centered on agent
  - Frame-stacking 3 frames
  - Ego Perspective



### PPO Implementation

#### **Algorithm 1** PPO-Clip

- 1: Input: initial policy parameters  $\theta_0$ , initial value function parameters  $\phi_0$
- 2: **for** k = 0, 1, 2, ... **do**
- 3: Collect set of trajectories  $\mathcal{D}_k = \{\tau_i\}$  by running policy  $\pi_k = \pi(\theta_k)$  in the environment.
- 4: Compute rewards-to-go  $\hat{R}_t$ .
- 5: Compute advantage estimates,  $\hat{A}_t$  (using any method of advantage estimation) based on the current value function  $V_{\phi_k}$ .
- 6: Update the policy by maximizing the PPO-Clip objective:

$$\theta_{k+1} = \arg\max_{\theta} \frac{1}{|\mathcal{D}_k|T} \sum_{\tau \in \mathcal{D}_k} \sum_{t=0}^{T} \min\left(\frac{\pi_{\theta}(a_t|s_t)}{\pi_{\theta_k}(a_t|s_t)} A^{\pi_{\theta_k}}(s_t, a_t), \ g(\epsilon, A^{\pi_{\theta_k}}(s_t, a_t))\right),$$

typically via stochastic gradient ascent with Adam.

7: Fit value function by regression on mean-squared error:

$$\phi_{k+1} = \arg\min_{\phi} \frac{1}{|\mathcal{D}_k|T} \sum_{\tau \in \mathcal{D}_t} \sum_{t=0}^{T} \left( V_{\phi}(s_t) - \hat{R}_t \right)^2,$$

typically via some gradient descent algorithm.

8: end for

#### "Nature" CNN

**Input**: 64x64x3

Hidden Layer 1

• 32 filters (8x8), stride = 4

Hidden Layer 2

• 64 filters (4x4), stride = 2

Hidden Layer 3

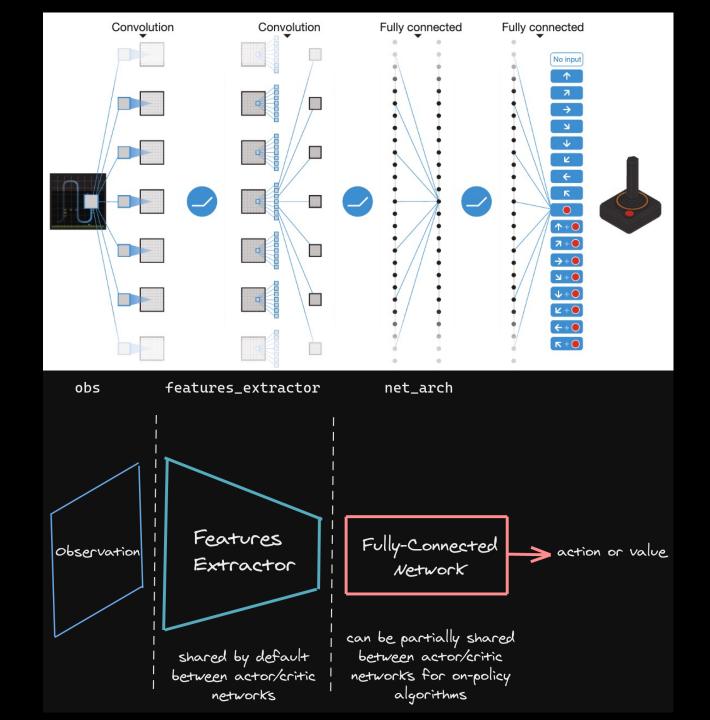
• 64 filters (3x3), stride = 1

Hidden Layer 4

- Fully Connected
- 512 units

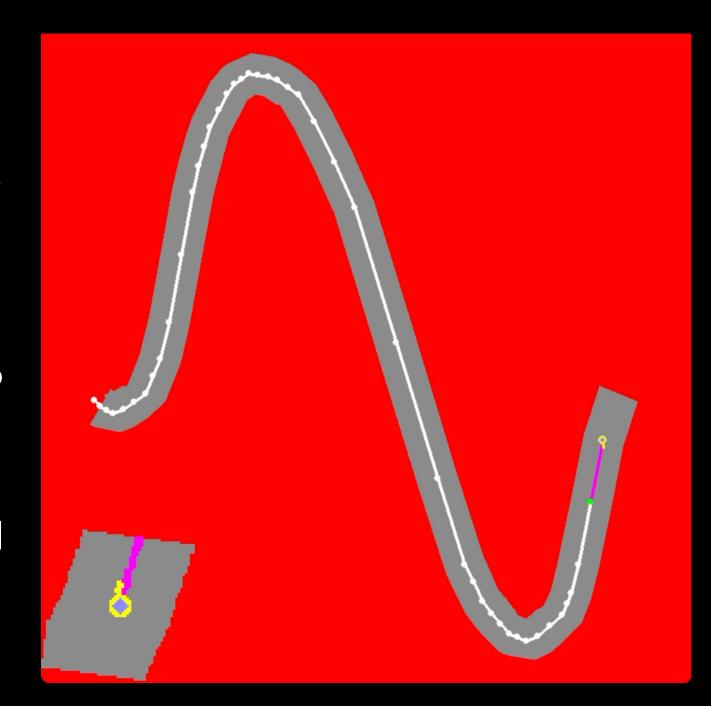
#### **Output Layer:**

- Gaussian Distribution (mean, std. dev) of action values
- Steering & Throttle



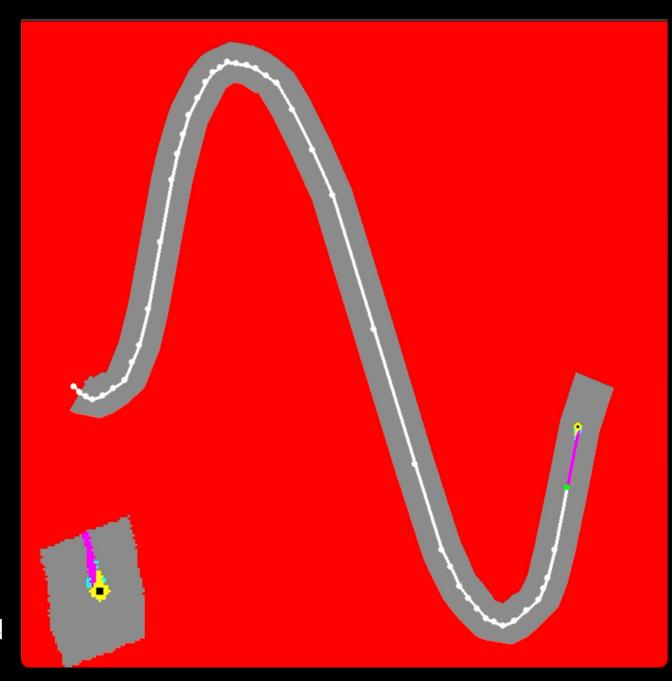
# Single Agent

- Checking for "signs of life"
- Can the agent learn to navigate a map?
- Rewards:
  - -0.01 for every timestep
  - +5 for each waypoint
  - +100 for reaching last waypoint
  - -1 for colliding with wall
  - -50 for out of bounds (edge case)



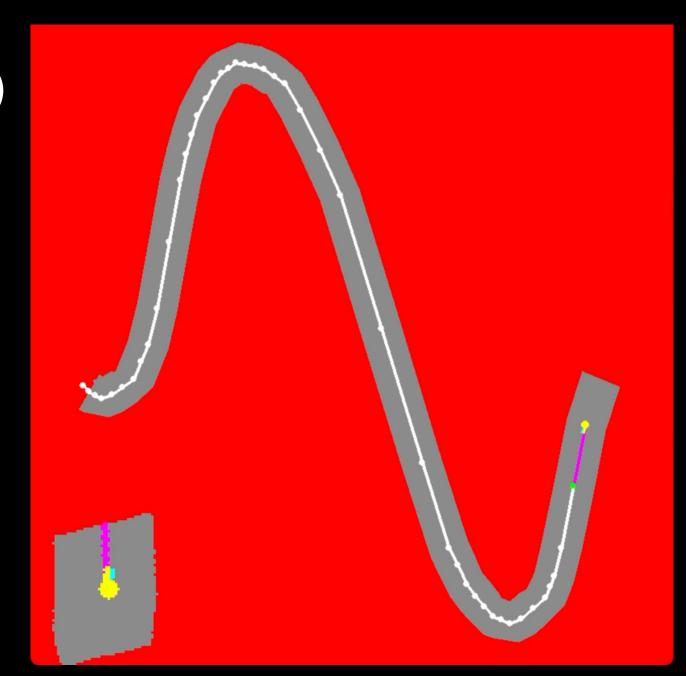
# Multi-Agent (No Drafting)

- What happens when two agents are put in the same map?
- Double the reward for being "first"
- Agents can collide with each other
- Race against yourself for ~10,000 timesteps
  - Improve as much as possible
  - Update the opponent for next training session
- Reward Changes:
  - +5 for each waypoint while first
  - +2.5 for each waypoint while behind



### Multi-Agent (Drafting)

- How can agents utilize drafting to compete or cooperate?
- Drafting effect when behind another agent
  - Must be within 30 units
  - Velocity increase by a factor of 1.1

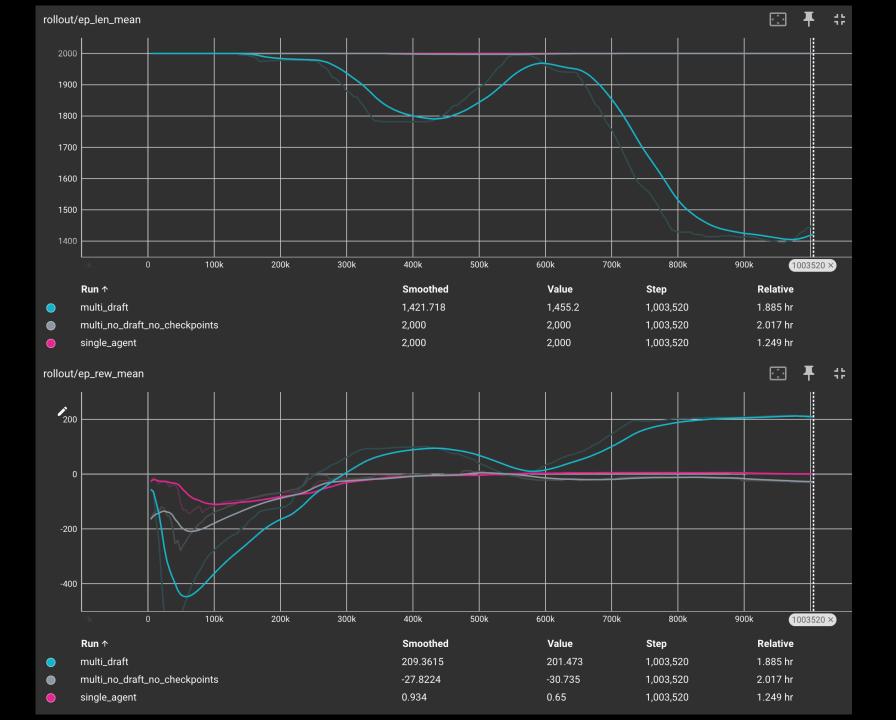


#### **Evaluation Procedure**

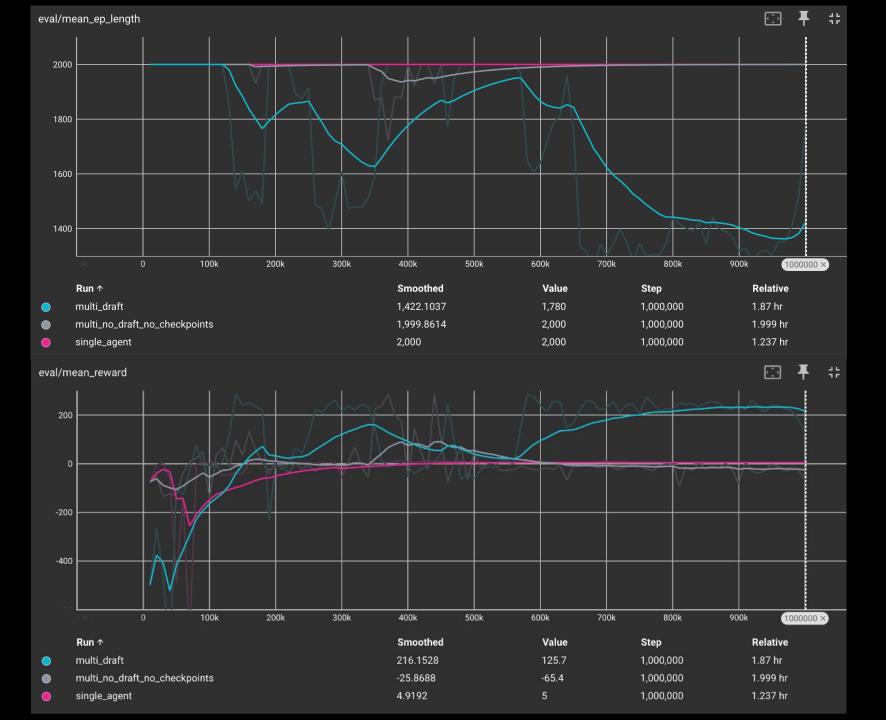
- Run PPO for each scenario
  - TensorBoard Logging
- Metrics
  - Mean Reward & Episode Length
  - Loss Function Value (Training Only)
- Training (Rollout)
  - Running Average over last 100 episodes
- Evaluation
  - Every 10,000 timesteps
  - Save model and evaluate over 20 episodes



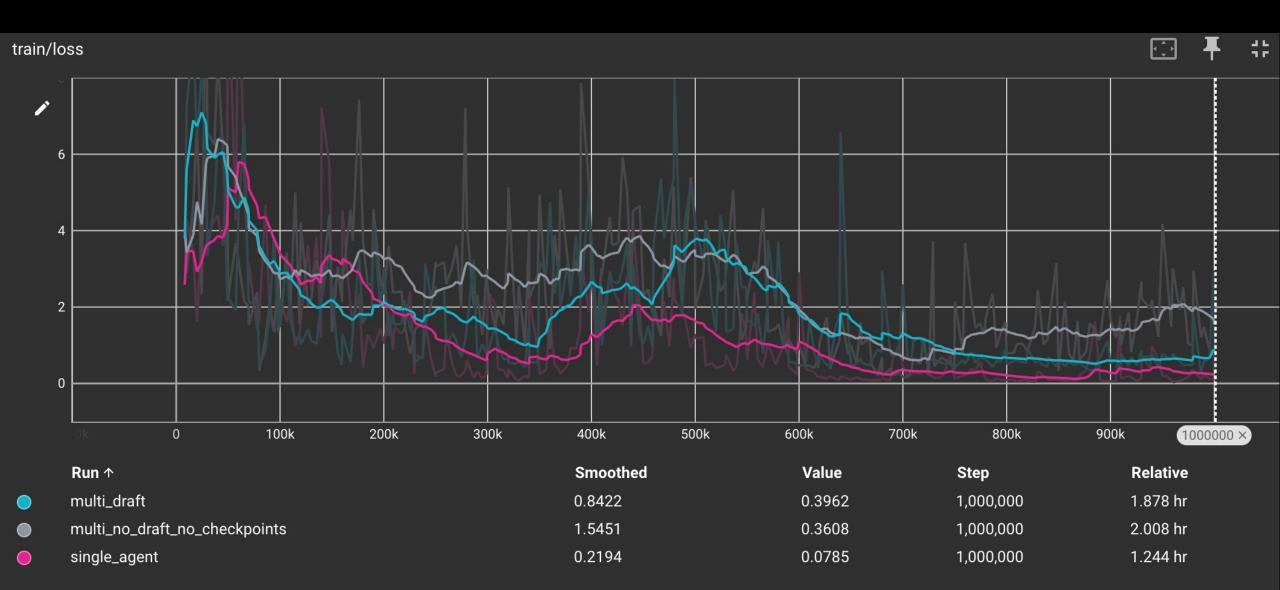
# Rollout Results



# Evaluation Results



# Loss Results



#### Conclusions

- PPO can train agents to navigate, race, and cooperate (sort of)
- MARL & MDRL is complex
  - Self-play is too simple
  - Independent and decentralized agents are limited
- Reward shaping is important
  - Sparse/delayed rewards makes learning difficult
- Training collapses are difficult to avoid with on-policy methods
  - Catastrophic forgetting

#### **Future Work**

**Complex State Representation** 

Hyperparameter Tuning

Multi-Agent Teams

Information
Sharing Network

Fictitious<br/>Self-play

Algorithm Comparison

## Appendix - Hyperparameters

Hyperparameter	Value
Learning Rate	0.0003
Number of Steps Per Update (Batch Size)	4096
Minibatch Size	1024
Gamma	0.99
Clipping Range ( $arepsilon$ )	0.2
Value Function Loss Coefficient	0.5
Entropy Loss Coefficient	0.01

$$L_t^{CLIP+VF+S}(\theta) = \hat{E}_t[L_t^{CLIP}(\theta) - c_1 L_t^{VF}(\theta) + c_2 S[\pi_{\theta}](s_t)]$$

# Appendix – 10 Agents Demo

https://www.youtube.com/watch?v=u048982E9OE

